Docket No.

296396US0PCT

PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Tomohir

SERIAL NO:

10/593,815

GAU:

2874

FILED:

September 22, 2006

**EXAMINER:** 

FOR:

PHOTOSENSITIVE RESIN COMPOSITION FOR OPTICAL WAVEGUIDE FORMATION AND OPTICAL

WAVEGUIDE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

## REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449, some of which are cited in the attached International Search Report and Written Opinion. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available unedited computer-generated English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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DOCKET NO.: 296396US0PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Tomohiro UTAKA, et al.

SERIAL NO: 10/593,815

GROUP: 2874

FILED:

September 22, 2006

**EXAMINER:** 

FOR:

PHOTOSENSITIVE RESIN COMPOSITION FOR OPTICAL WAVEGUIDE

FORMATION AND OPTICAL WAVEGUIDE

# **STATEMENT OF RELEVANCY**

## References AS to AU on Form PTO-1449:

These references are described as prior art documents in the PCT specification.

| Form PTO 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  |       |                     | ATTY DOCKET NO. |   | SERIAL NO.      |              |                                       |
|--|-------|---------------------|-----------------|---|-----------------|--------------|---------------------------------------|
|  |       |                     | 296396US0PCT    |   | 10/593,815      |              |                                       |
|  |       | RENCES CITED BY API | 4               | APPLICANT   |                 |              |                                       |
| LIST OF  | KEFEF |                     | - 1             | Tomohiro UTAKA, et al.  |                 |              |                                       |
| JAN 2.4 2007   |       |                     |                 | FILING DATE   |                 | GROUP        |                                       |
|  |       |                     |                 | September 22, 2006  |                 | 2874         |                                       |
| U.S. PATENT DOCUMENTS  |       |                     |                 |   |                 |              |                                       |
| EXAMINER INITIAL   |       | DOCUMENT<br>NUMBER  | DATE            | NAME  | CLASS           | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE         |
| •  | AA    | -                   |                 |   |                 |              |                                       |
| •  | AB    |                     |                 |   |                 |              | · · · · · · · · · · · · · · · · · · · |
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|  | AK    |                     |                 |   |                 |              |                                       |
|  | AL    |                     |                 |   |                 |              |                                       |
|  | AM    |                     |                 |   |                 |              |                                       |
|  | AN    |                     |                 |   |                 |              |                                       |
| FOREIGN PATENT DOCUMENTS   |       |                     |                 |   |                 |              |                                       |
|  |       | DOCUMENT            | DATE            | COUNTRY   |                 | TRANSLATION  |                                       |
|  |       | NUMBER              |                 |   |                 | YES NO       |                                       |
|  | AO    | 2003-195078         | 07/09/2003      | Japan   |                 |              | X                                     |
|  | AP    | 2003-202438         | 07/18/2003      | Japan (with English abstract and unedited computer-generated English translation) |                 | ×            |                                       |
|  | AQ    | 2003-147045         | 05/21/2003      | Japan (with English abstract and unedited computer-generated English translation) |                 | х            |                                       |
|  | AR    | 2003-177260         | 06/27/2003      | Japan (with English abstract and unedited computer-generated English translation) |                 | х            |                                       |
| -  | AS    | 9-243870            | 09/19/1997      | Japan (with English abstract)   |                 |              | X                                     |
|  | AT    | 2000-356720         | 12/26/2000      | Japan (with English abstract)   |                 |              | Х                                     |
|  | ΑU    | 8-271746            | 10/18/1996      | Japan (with English abstract)   |                 |              | X                                     |
|  | ΑV    |                     |                 |   |                 |              |                                       |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |       |                     |                 |   |                 |              |                                       |
|  | AW    |                     |                 |   |                 |              |                                       |
|  | AX    |                     |                 |   |                 |              |                                       |
|  | AY    |                     |                 |   |                 |              |                                       |
|  | AZ    |                     | W-11-5-11       |   | Addi            | tional Refe  | erences sheet(s) attached             |
| Examiner   |       |                     |                 |   | Date Considered |              |                                       |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |       |                     |                 |   |                 |              |                                       |